



INDIAN INSTITUTE OF BANKING & FINANCE Professional Development Centre – Eastern Zone, Kolkata

VIRTUAL TRAINING PROGRAMME

“KYC/AML/CFT”

Two Days Live Programme - 18th -19th May 2023

Individual candidates may also participate

LAST DATE TO APPLY: 16th May, 2023



IIBF has emerged as a premier institute on banking and finance education. It is the largest institute of its kind in the world and is working with a Mission to “develop professionally qualified & competent bankers and finance professionals primarily through a process of education, training, examination, consultancy/ counselling and continuing professional development programs”.

PROGRAMME BACKGROUND

Know Your Customer is the process of verifying the identity of customer. The objective of KYC guidelines is to prevent banks from being used, by criminal elements for money laundering activities. It also enables banks to understand its customers and their financial dealings to serve them better and manage its risks prudently. Though anti-money laundering laws cover a limited range of transactions and criminal behavior, their implications are far-reaching. While AML and KYC are closely related, there is a difference between AML and Know Your Customer (KYC) rules. AML operates on a broader level; they are the measures, institutions take to prevent and combat money laundering, financing terrorism and other financial crimes. Banks use AML and KYC compliance to ensure the safety and security of the financial institutions, as well.

PURPOSE

This programme envisages confidence building among the participants, by improving their knowledge & skills in the area of KYC and AML. The programme takes the participants through a logical journey, right from getting conceptual clarity on various aspects of KYC and AML to understanding intricacies involving implications to safeguard the Banking Institutions. This programme is a unique trainee-oriented program, with emphasis on interactions, sharing of experience and exercises, apart from the usual training methods.

OBJECTIVES

The objectives of the Programme is to create awareness and develop skills on the following aspects:

- To enhance knowledge of KYC and AML and their importance in day to day Banking transactions.
- To know about the RBI framework and KYC policies.
- To Know KYC requirements of various accounts and their risk profiles
- To learn more about the latest developments in KYC/AML front and good international practices adopted by global Banks

CONTENT OVERVIEW

- Overview of KYC, AML & CFT - Global & Indian Perspective
- Money Laundering - Origin and Preventive Legislations
- KYC framework of RBI and operating guidelines
- KYC for individual accounts/Prop. Firm/Company/Trust/PEP etc.
- KYC for Non-face to face customer and meaning of Beneficial Owner (BO)
- Customer Due Diligence - SDD, CDD, EDD, E-KYC, C-KYC, Digital KYC etc.
- Risk Classification and Review of Accounts
- Transaction Monitoring Process - Attending & Closing AML Alerts, Filing of CTR/STR/CCR, Record Maintenance and Reporting requirements
- Financial Intelligence Unit (FIU)-India/FATF
- Trade-Based Money Laundering - Preventive Measures
- AML/KYC in Correspondent Banking/Exchange Companies and the meaning of virtual assets and international practices

METHODOLOGY

Programme will be conducted in Virtual Mode and can be attended from anywhere by using devices with Internet like PC, Laptop, Tablet or Mobile. Internet connection with good speed is required to stream live Virtual sessions. Sessions will be handled by experienced faculties and experts from industry.

TARGET GROUP

Officers/Executives at **Middle and Senior Management level in PSU/RRBs/ Co-op Banks and Private sector banks / Foreign banks**, working in Branches/ROs/Zos/ Cos and Corporate Office handling Deposits/Credits/Remittances, Risk Management, Delivery channels and other areas.

DURATION

2-Days' Programme i.e. **18th -19th May 2023 (10.00 AM to 5.30 PM each day)**



FEE

Rs. 4,000/- per participant plus GST @ 18% i.e. Rs.720/- aggregating to **Rs. 4,720/-** (In case of TDS deduction, please send us the TDS certificate).

(Kindly provide your GST Number in the nomination letter to facilitate the raising of Invoice)

LAST DATE TO APPLY: 16th May, 2023

CONTACT DETAILS

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CORRESPONDENCE ADDRESS : Indian Institute of Banking & Finance, PDC-EZ, Avani Heights, 2nd Fl., 59A, Jawaharlal Nehru Road, Nr. Ravindra Sadan Metro Station, Kolkata – 700020.



INDIAN INSTITUTE OF BANKING & FINANCE
Professional Development Centre – Eastern Zone, Kolkata

Programme Title: “KYC/AML/CFT”

Mode of Programme: Virtual Mode Date: 18th -19th May 2023

NOMINATION FORM

LAST DATE TO APPLY : 16th May, 2023

Details of Nomination (to be filled by the Banks/FIs/Other Organizations):

Sl. No.	Name of Participant	Designation	Branch/ Office	Contact No.	E-mail Id.
1					
2					
3					
4					
5					

Fees Paid Rs. _____ UTR/Transaction No. _____ Date of Payment: _____
Name of Sponsoring Bank / FI: _____ **GSTN of Bank/FI:** _____
Address of the Bank/FI: _____ Phone/Mob. No. _____
E-mail id.: _____

FEE : Rs. 4,000/- /- per participant plus GST @ 18% i.e. Rs.720/- aggregating to Rs. 4,720/- (In case of TDS deduction, please send us the TDS certificate).

(Kindly provide your GST Number in the nomination letter to facilitate the raising of Invoice)

Programme fees may be remitted to the credit of Institute's Bank Account as below:

- ✓ **Name of Account Holder:** Indian Institute of Banking & Finance
- ✓ **Name of the Bank Branch:** State Bank of India, Vidya Vihar (West), Mumbai.
- ✓ **Savings Account No.** 37067835940 **IFSC:** SBIN0011710
- ✓ **PAN No.** AAATT3309D; **GST No.** 19AAATT3309D2ZO, **State** - West Bengal

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NOMINATION FORM FOR SELF-SPONSORED CANDIDATES

LAST DATE TO APPLY : 16th May, 2023

Programme Title “KYC/AML/CFT”

Mode of Programme: Virtual Mode Date: 18th -19th May 2023

Sl. No	Name (Mr/Mrs/Ms)	Designation	Contact No. (Mobile)	E-mail (PERSONAL)	Address (personal)	UTR NUMBER
1						

Name of Bank/ FI employed with: _____

Address of Bank/ FI employed with: _____

FEE : Rs. 4,000/- /- per participant plus GST @ 18% i.e. Rs.720/- aggregating to Rs. 4,720/- (In case of TDS deduction, please send us the TDS certificate).

Programme fees may be remitted to the credit of Institute’s Bank Account as below:

- ✓ **Name of Account Holder:** Indian Institute of Banking & Finance
- ✓ **Name of the Bank Branch:** State Bank of India, Vidya Vihar (West), Mumbai.
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CONTACT DETAILS:

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